

SIMI BHAUMIK, RESEARCH ANALYST, SEBI REGISTRATION # INH300003306
WWW.SIMIBHAUMIK.COM

Formats for investors complaints data to be disclosed monthly by RAs on their website/mobile application

Investor Complaint Resolution Data in tabular format

Data for the month ending – January, 2026

Sr. No.	Received from	Received	Resolved	Pending at the end of the Last Month	Pending complaints > 3months	Total Pending	Average Resolution time^ (in days)
1	Directly from Investors	None	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable
2	SEBI (SCORES)	None	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable
3	Other Sources (if any)	None	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable
	Grand Total						

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Kindly note that till date no complaint has been received from any sources mentioned above since registered as a Research Analyst.

Year wise data since registration date July 28, 2016

Trend of annual disposal of complaints

Sr. No.	Year	Carried forward from previous year	Received	Resolved	Pending
1	FY2016-2017	None	None	Not applicable	Not applicable
2	FY2017-2018	None	None	Not applicable	Not applicable
3	FY2018-2019	None	None	Not applicable	Not applicable
4	FY2019-2020	None	None	Not applicable	Not applicable
5	FY2020-2021	None	None	Not applicable	Not applicable
6	FY2021-2022	None	None	Not applicable	Not applicable
7	FY2022-2023	None	None	Not applicable	Not applicable
8	FY2023-2024	None	None	Not applicable	Not applicable
9	FY2024-2025	None	None	Not applicable	Not applicable
10	FY2025-2026	None	None	Not applicable	Not applicable

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from Previous month	Received	Resolved	Pending.
1	November 24	0	0	Not Applicable	Not Applicable
2	December 24	0	0	Not Applicable	Not Applicable
3	January 25	0	0	Not Applicable	Not Applicable
4	February 25	0	0	Not Applicable	Not Applicable
5	March 25	0	0	Not Applicable	Not Applicable
6	April 25	0	0	Not Applicable	Not Applicable
7	May 25	0	0	Not Applicable	Not Applicable
8	June 25	0	0	Not Applicable	Not Applicable
9	July 25	0	0	Not Applicable	Not Applicable
10	August 25	0	0	Not Applicable	Not Applicable
11	September 25	0	0	Not Applicable	Not Applicable
12	October 25	0	0	Not Applicable	Not Applicable
13	November 25	0	0	Not Applicable	Not Applicable
14	December 25	0	0	Not Applicable	Not Applicable
15	January 26	0	0	Not Applicable	Not Applicable
Grand Total					

SEBI Scores Link

New: <https://scores.sebi.gov.in/scores-home>

Old: <https://scores.gov.in/scores/Welcome.html>

Filing of complaints on SCORES – Easy & quick

a. Register on SCORES portal

b. Mandatory details for filing complaints on SCORES: Name, PAN, Address, Mobile Number, Email ID

c. Benefits

1. Effective communication
2. Speedy redressal of the grievances SCORES